RIA Fiduciary Solutions

Since 1989, our team has partnered with RIAs to deliver protection and income strategies inside advisory planning. RIA Fiduciary Solutions is a dedicated subsidiary focused solely on helping advisory firms execute life and protection solutions without disrupting how they serve clients or bill for advice.

Who we serve

Whether your an investment advisor with or without existing insurance licensing we can provide a faster, cleaner way to execute. Our turnkey execution lets you deliver protection solutions inside your planning process while maintaining your advisory relationship and fee model.

What we offer

- **Turnkey outsourced execution** — including advisory-class annuity and life; we handle suitability, e-apps, paperwork, and servicing under our license so you can manage the assets and charge your advisory fee. (An OID-style experience without the back-office burden.)
- **Practice management** — 9 modular tracks (leadership, profitability, hiring, process, client experience, pricing, marketing, tech stack, KPIs) to scale your firm.
- **Sales coaching & CE access** — through outside consultants; CFP® CE where applicable.
- **Dedicated RIA web hub** — tools, calculators, playbooks, and resources built for RIAs.
- **Marketing collateral** — compliant, advisor-branded client explainers and email templates.
- **Internal & external support** — named case team and partner success to drive growth.
- **Concierge service** — white-glove intake, milestone updates, and SLA-driven cycle times.
- **Ongoing Services** — Monitoring of clients' accounts is provided, free of charge to you and your clients.

How it works (3 steps)

1) **Discuss client profile:** share objectives and data; we scope options.
2) **Design & approval:** illustrations, suitability, client education.
3) **E-sign & servicing:** We do all the paperwork, collect signatures, and execute.

Outcomes

- Ability to charge a fee on the assets you're managing
- Set yourself apart from the competition *(not all IARs are aware of these products)*.
- Preserve AUM and the planning relationship while we execute.
- Additional revenue source for your RIA firm.

For advisor use only. We serve RIAs; we do not act as a fiduciary to end clients and do not provide tax or legal advice.

Securities offered through Simplicity Investments, Member FINRA/SIPC, 475 Springfield Ave, Summit, NJ 07901 (303) 797-9080.
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